UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/925,195	08/09/2001	Yue Ma	NDSP-P004	4431

				EXAMINER
20575	7590	03/04/2005		TUCKER, WESLEY J

MARGER JOHNSON & MCCOLLOM, P.C.
1030 SW MORRISON STREET
PORTLAND, OR 97205

ART UNIT	PAPER NUMBER
2623	

DATE MAILED: 03/04/2005

Please find below and/or attached an Office communication concerning this application **or proceeding**.

PTO-90C (Rev. 10/03)

	Application No.	Applicant(s)
Office Action Summary	09/925,195	MA ET AL.
	Examiner	Art Unit	
	Wes Tucker	2623	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) FROM
THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed
  after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any
  earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on <u>18 October 2004</u>.

2a)☒ This action is **FINAL**.   2b)☐ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is
closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) <u>1-50</u> is/are pending in the application.

    4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☒ Claim(s) <u>2-12,15,16,19-30,33-36 and 48</u> is/are allowed.

6)☒ Claim(s) <u>1,13,14,17,18,31,32,37-47,49 and 50</u> is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☒ The drawing(s) filed on <u>19 November 2001</u> is/are: a)☒ accepted or b)☐ objected to by the Examiner.

    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

    a)☐ All   b)☐ Some * c)☐ None of:

    1.☐ Certified copies of the priority documents have been received.

    2.☐ Certified copies of the priority documents have been received in Application No. _____.

    3.☐ Copies of the certified copies of the priority documents have been received in this National Stage
application from the International Bureau (PCT Rule 17.2(a)).

    * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1)☐ Notice of References Cited (PTO-892)

2)☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)

3)☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
    Paper No(s)/Mail Date _____.

4)☐ Interview Summary (PTO-413)
    Paper No(s)/Mail Date. _____ .

5)☐ Notice of Informal Patent Application (PTO-152)

6)☐ Other: _____.

DETAILED ACTION

Response to Arguments

1.   Applicant's response to the last Office Action, filed October 18, 2004 has been entered and made of record.

2.   Applicant has amended claims 2-6, 10-13, 16-17, 19, 26, 33, 34, and 48. Claims 1-50 are pending.

3.   Applicant's arguments, filed October 18, 2004, with respect to the rejection(s)of claim(s) 1, 13, 17-18, 31, 37-44, 46-47, and 49-50 under 35 U.S.C. 102(b) have been fully considered and are not persuasive for at least the following reasons:

4.   Applicant argues that the reference of U.S. Patent 5,832,143 to Suga et al. does not disclose the claimed feature of "assigning a numerical value A[k] to a direction D[k] ...where A[k] is defined for indicating the likelihood of an edge crossing said pixel position along said D[k] by quantifying the degree of similarity between a pixel segment [k,x] ...and a pixel segment [k,y]... " Examiners points out the that the reference of Suga reads on this claim limitation as previously addressed in the last office action filed October 5, 2004. Suga discloses that directions of possible edge locations are identified by the variable k (see Fig. 16) in order to choose the best interpolation direction based on the correlation between pixel segments surrounding the pixel to be interpolated. The value in the reference of Suga of T[k] is interpreted as the numerical value of A[k], "...where A[k] is defined for indicating the likelihood of an edge

crossing..." The value of T[k] represents a measure of correlation between pixel blocks (see Fig. 16) and therefore the direction represented by value of k that yields the best T[k] is chosen as the direction for optimum interpolation (column 11, lines 40-60). Therefore the reference of Suga is interpreted to read on the language of the claim 1, 18 and 41.

Applicant further argues that the reference of suga does not disclose the claimed element of "performing a segment analysis ... to verify said symmetric segment pair as belonging to an edge crossing and in response to said D[m] having been verified by said segmental analysis , performing spatial interpolation at said pixel interpolation at said pixel position along said D[m]" in claims 1, 18, 41, 43 and 49. Examiner points to the previous discussion and to the Fig. 16 in Suga. The pixels in fig. 6 are analyzed in segments of 3 pixels to determine correlation and the optimum direction for spatial interpolation (column 11, lines 40-60). Therefore the rejection of the claims 1, 18, 41, 43 and 49 as argued by Applicant are maintained in view of the reference to Suga as reading on the language of the claims. The rejection is also accordingly made final.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public

use or on sale in this country, more than one year prior to the date of application for patent in the United

States.

Claims 1, 13, 17-18, 31, 37-44, 46-47, and 49-50 are rejected under 35

U.S.C. 102(b) as being anticipated by U.S. Patent 5,832,143 to Suga et al.

With regard to claim 1, Suga discloses for an image to be re-sampled, a method

of performing spatial interpolation at a pixel position of a re-sampling line, said pixel

position immediately below pixel x[0] of a first line comprising pixels x[-n] to x[n], said

pixel position also immediately above pixel y[0] of a second line comprising y[-n] to y[n]

(Figs.16 and 18).

Suga further discloses for k = 0 to 2n, assigning a numerical value A[k] to a

direction D[k] that is established as a possible interpolation direction intercepting x[-n

+k], said pixel position, and y[n - k], wherein said A[k] is defined for indicating the

likelihood of an edge crossing said pixel position along said D[k] by quantifying the

degree of similarity between a pixel segment seg[k,x]= {x[-n+k -c], . . . , x[-n +k +c] }

from said first line and a pixel segment seg[k,y]={y[n - k -c], ..., y[n - k +c]} from said

second line, said first and said second segments approximately symmetric about said

pixel position (Fig.18, element S4 and column 11, lines 40-60). Here the direction D[k]

is interpreted in Suga as the direction identified for optimum interpolation and A[k] is

identified with T[k]. The pixel segments are shown in Fig. 16.

Suga further discloses selecting from D[0] to D[2n] a first direction D[m] whose assigned A[m] indicates the highest likelihood of an edge crossing said pixel position along said D[m] (Fig.18, element S4 and column 11, lines 40-60).

Suga further discloses performing a segment analysis on a symmetric segment pair seg[m,x] and seg[m,y] associated with D[m], said segment analysis adapted to verify said symmetric segment pair as belonging to an edge crossing said pixel position, said seg[m,x] and seg[m,y] symmetrically located with respect to said pixel position (Figs. 16 and 18 and column 3, lines 1-8). Here Suga explains that the technique of using multiple directions for interpolating pixels is done in order to better determine the interpolated pixels value in view of edges.

Suga further discloses in response to said D[m] having been verified by said segment analysis, performing spatial interpolation at said pixel position along said D[m] (Fig.18, element S5).

With regard to claim 13, Suga discloses the method claim 1, further comprising the step of having failed said segment analysis test, performing spatial interpolation at said pixel position along a default interpolation direction (Fig. 18, element S9 and S10 and column 6, lines 55-65). If the interpolation is determined to be improper the interpolated pixel value is replaced by an interpolation of the pixels directly above and below it.

With regard to claim 17, the claim is interpreted as a description of the slope of

the interpolation direction line as it is rotated. Suga discloses the same line slope setup

(Fig.16). Suga further discloses performing a post processing by adjusting the

interpolated value of said pixel position according to the interpolated value of said pixel

position and the pixel values of pixels neighboring said pixel position (Fig. 18, element

S9 and S10 and column 6, lines 55-65). If the interpolation is determined to be

improper the interpolated pixel value is replaced by an interpolation of the pixels directly

above and below it.

With regard to claim 18, the discussion of claim 1 applies. Suga discloses a

system with the method discussed in claim 1 (Fig.13).

With regard to claim 31, Suga discloses the system of claim 18, wherein said

interpolating unit is adapted for performing spatial interpolation at said pixel position

along a default interpolation direction in response to said D[m] having failed said

verification by said segment analysis unit (Fig. 18, element S9 and S10 and column 6,

lines 55-65). If the interpolation is determined to be improper the interpolated pixel

value is replaced by an interpolation of the pixels directly above and below it.

With regard to claim 37, the claim is interpreted as an explanation of the slope

of the interpolation or directional line. Suga discloses the same kind of line passing

through so many directions intersecting the pixel to be interpolated (Fig. 16).

With regard to claim 38, Suga discloses a post processing unit for performing

post processing by adjusting the interpolated value of said pixel position according to

the interpolated value of said pixel position and the pixel values of pixels neighboring

said pixel position (Fig. 18, element S9 and S10 and column 6, lines 55-65). If the

interpolation is determined to be improper the interpolated pixel value is replaced by an

interpolation of the pixels directly above and below it.

With regard to claim 39, Suga discloses a method for performing spatial

interpolation at a pixel position on a resampling line of an image to be re-sampled,

(Figs. 16 and 18).

Suga further discloses establishing a plurality of possible interpolation directions

intercepting at said pixel position by using a first plurality of pixels from a first line

immediately above said resampling line, and by using a second plurality of pixels from a

second line immediately below said resampling line (Fig.16).

Suga further discloses for each of said plurality of possible interpolation

directions, quantifying the likelihood of each said possible interpolation direction for

being an interpolation direction to be used by assigning a numerical value to each of said

plurality of possible interpolation directions (Fig. 18, element S4). Here the correlations

determined by k are used to select the line direction for interpolation.

Suga further discloses from said plurality of possible interpolation directions,

selecting an interpolation direction that has a minimum assigned value (Fig. 18, element

S4). Here the optimum interpolation direction is chosen according to a k value that minimizes T(k).

Suga further discloses performing a plurality of verifications to rule out erroneous selection of said selected interpolation direction (Fig.18, element S9). Here Suga discloses determining if interpolation is proper by comparing values for the completed interpolated line of pixel values in step S7.

Suga further discloses performing spatial interpolation along said selected interpolation direction if said selected interpolation direction passes said plurality of verifications (Fig.18, elements S6-S10). Interpolation is performed according to the selected direction unless it is determined to be improper in which case, the pixel value is replaced by an alternate interpolation direction.

With regard to claim 40, Suga further discloses the method of Claim 39, further comprising the step of performing spatial interpolation along a default interpolation direction intercepting said pixel position if said selected interpolation direction does not pass said plurality of verifications (Fig. 18, element S9 and S10 and column 6, lines 55-65). If the interpolation is determined to be improper the interpolated pixel value is replaced by an interpolation of the pixels directly above and below it.

With regard to claim 41, Suga discloses a method for rendering an edge having a non-zero slope that intercepts a pixel position of a resampling line in an image to be resampled (Figs. 16 and 18).

Suga further discloses detecting said edge along a plurality of pre-defined directions

intercepting effectively at said pixel position by selecting a pre-defined direction based on a

numerical ranking assigned to said plurality of pre-defined directions, wherein each of said

pre-defined directions has a non-zero slope (Figs.16 and 18). The numerical ranking is

interpreted as the k value and the function of T(k).

Suga further discloses verifying said selected direction for performing spatial

interpolation at said pixel position using pixels that lie along said selected direction (Fig.18,

element S9). The determination of whether the interpolation is proper is made.

Suga further discloses in response to said selected direction passes said verifying

step, performing spatial interpolation at said pixel position using pixels that lie along said

selected direction (Fig.18, elements S5-S10). The pixel is interpolated according to the

direction selected and if the interpolation is deemed proper then the interpolated value

is used.

With regard to claim 42, Suga discloses the method of Claim 41, further

comprising the step of in response to said selected direction not passing said verifying

step, performing spatial interpolation at said pixel position using pixels that lie along a

default interpolation direction, said default interpolation direction intercepting said pixel

position (Fig. 18, element S9 and S10 and column 6, lines 55-65). If the interpolation is

determined to be improper the interpolated pixel value is replaced by an interpolation of the

pixels directly above and below it.

in response to the selected interpolation direction passing all verifications, performing spatial interpolation along said selected interpolation direction (Fig.18,element S9).

With regard to claim 44, Suga discloses the method of claim 43, further comprising the step of: in response to the selected interpolation direction disqualified by any of the verification tests, performing spatial interpolation along a default interpolation direction (Fig. 18, element S9 and S10 and column 6, lines 55-65). If the interpolation is determined to be improper the interpolated pixel value is replaced by an interpolation of the pixels directly above and below it.

With regard to claim 46, Suga discloses the method of claim 43, wherein each of said n numerical values is generated using pixels values from segments above and below the pixel to be interpolated (Fig. 18, element S9 and S10 and column 6, lines 55-65). If the interpolation is determined to be improper the interpolated pixel value is replaced by an

With regard to claim 43, Suga discloses a method for re-sample an image by spatial interpolation (Fig. 18), said method comprising the steps of:

assigning a numerical value respectively to n pre-defined interpolation directions that intercept effectively at a pixel position in a resampling line, forming respectively non-zero angles with said resampling line (Figs. 16 and 18, elements interpolation of the pixels directly above and below it.

With regard to claim 47, Suga discloses the method of claim 43, wherein the assigned numerical value of said selected interpolation ranks as the smallest among said n numerical values (Fig. 18, element S4). Here the optimum interpolation direction is chosen according to a k value that minimizes T(k).

With regard to claim 49, Suga discloses a system for image resample by spatial interpolation (Fig. 13).

Suga further discloses an evaluation unit adapted to assign a numerical value to each of a plurality of pre-defined interpolation directions that intercept effectively at a pixel position in a resampling line of said field (Figs. 16 and 18).

Suga further discloses a selection unit coupled to said evaluation unit, said selection unit adapted to select an interpolation direction from said plurality of pre-defined interpolation directions by ranking said plurality of assigned numerical values (Fig. 18, element S4).

Suga further discloses a segment analysis unit comprising a plurality of verification units coupled to said second unit, said verification units adapted to eliminate erroneous selection of said selected interpolation direction (Fig. 18, element S9 and S10 and column 6, lines 55-65). If the interpolation is determined to be improper the interpolated pixel value is replaced by an interpolation of the pixels directly above and below it.

Suga further discloses an interpolation unit coupled to said unit, wherein said interpolation unit is adapted to perform spatial interpolation at said pixel position along the

selected interpolation direction in response to the selected interpolation direction passing all verifications (Fig. 18, element S9 and S10 and column 6, lines 55-65). If the interpolation is determined to be improper the interpolated pixel value is replaced by an interpolation of the pixels directly above and below it. If the interpolation is proper, the interpolation in the selected direction is maintained.

With regard to claim 50, Suga discloses the system of claim 49, wherein said interpolation at said pixel interpolation at said pixel position along a default interpolation direction in response to the selected interpolation direction (Fig. 18, element S9 and S10 and column 6, lines 55-65). If the interpolation is determined to be improper the interpolated pixel value is replaced by an interpolation of the pixels directly above and below it.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 14, 32, and 45 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 5,832,143 to Suga et al.

With regard to claim 14, Suga discloses the method of claim 13, but does not disclose having a default angle of interpolation of 45 degrees. It is understood that the angle of default direction for interpolation is a matter of design choice and can be chosen for any angle deemed appropriate. Therefore it would have obvious to one of ordinary skill in the art at the time of invention to use any default interpolation direction determined appropriate in order to better interpolate the pixel values.

With regard to claim 32, the discussion of claim 14 applies.

With regard to claim 45, the discussion of claim 45 applies.

Allowable Subject Matter

Claims 2-12, 15-16, 19-30, 33-36, and 48 were objected to in the previous office action as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. Claims 2, 6, 10, 16, 19, 26, 33, 34, and 48 have been amended to be in independent form including all the limitations of the base claim and are therefore deemed allowable along with their subsequent dependent claims.

Prior Art

Other prior art considered pertinent, but not relied upon is as follows:

U.S. Patent 5,513,281 to Yamashita et al. discloses an interpolation method for interpolating pixels between lines using different interpolation directions.

U.S. Patent 5,602,654 to Patti et al. discloses an interpolation method for interpolating pixels between lines using different interpolation directions.

U.S. Patent 6,262,773 to Westerman discloses interpolation between lines in video images using edge correlation.
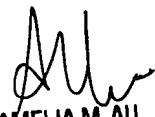
Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Wes Tucker whose telephone number is 703-305-6700. The examiner can normally be reached on 9AM-5PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Amelia Au can be reached on (703)308-6604. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

Wes Tucker

2-18-05

AMELIA M. AU
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2600